

## Curriculum Vitae

# Diederik van Nieuwkerk

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Bekijk op LinkedIn



## Profile

The combination of my experience as a supervisor and consultant makes that I am well familiar with the legal framework within which financial institutions have to operate, as well as with the vision of the supervisory authorities. I understand what is required, but also understand the challenges this might pose to financial institution. Knowledge and experience I use to help my clients achieve and maintain their desired maturity level in relation to risk management and / or compliance. I am analytically strong and thorough in my way of working. I am able to communicate quickly and I never lose sight of the interests of all stakeholders - from supervisors to supervised institutions and their clients. I actively seek dialogue, ask critical questions where necessary and I provide added value for my clients in a pragmatic way.

## Working experience

2019-present    **Consultant**, Charco & Dique

2016-2019    **(Senior) Consultant**, BDO Risk Advisory Services

- Setting up the risk management function and compliance function
- Fulfilling the role of external compliance officer
- Advising on compliance issues
- Drafting and reviewing policies and processes (i.a. Wft, Wwft, Pw, PSD2)
- Performing (integrity) risk analyses

- Conducting compliance and risk management audits to assess the effectiveness of policies and processes (i.a. compliance function, risk function, CDD/KYC, SIRA, duty of care, outsourcing)
- Providing support during license applications (Wft, PSD2)

2015-2016      **Supervisor - Authorisation Division**, European Central Bank

- Assessing the quality and completeness of propriety and suitability assessments carried out by the national supervisors (NCAs)
- Writing a joint proposal together with the relevant Joint Supervisory Team (JST)
- Active consultation with NCAs and JSTs

2014- 2015      **Business Analyst Expertisecentrum Toetsingen**, Dutch Central Bank ('DNB')

- Assessing the propriety and suitability of directors and supervisory directors of financial institutions (pension funds, insurers)

2012-2013      **Associate Supervision of Insurers**, Dutch Central Bank ('DNB')

Strategic communication (DNB Newsletter Insurers)

DNB Theme investigation into data quality of quarterly and annual reports of insurers

Clean up the DNB register of insurers and pension funds, to report to EIOPA

## Expertise

Sectors:           Insurers, Pension funds, Trust offices, Payment institutions, Credit providers

Disciplines:       Compliance, Integrity, Risk management, CDD, Duty of care, Privacy

Competences:   Stakeholder management, Communication

## Performance and projects

- External compliance officer for pension funds
- Feasibility study for a foreign central bank
- Investigation (factual account) into a bank's decision-making process
- Set up the risk management function for a new lender and pension fund
- Provide support leading up to license applications (Wft, PSD2)

## Education and courses

2005-2010      International Business Administration, VU Amsterdam

## Languages

Nederlands      Native

Engels      Fluent (CPE Certificate)

## Hobbies

Squash, cycling, history